Dkt. 65019-DA-PCT-US/JPW/AJM/NFM

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Marc Feldmann and Ravinder N. Maini

Serial No. : 09/754,004

Examiner: P. Gambel

Filed

January 3, 2001

Group Art Unit: 1644

For

TNF ANTAGONISTS AND METHOTREXATE IN THE

TREATMENT OF TNF-MEDIATED DISEASE

1185 Avenue of the Americas New York, New York 10036 June 20, 2003

RECEIVED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

JUN 2 5 2003

Sir:

TECH CENTER 1600/2900

INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. §1.56, applicants would like to direct the Examiner's attention to the following publications which are listed on the attached Form PTO-1449 (Exhibit A). Copies of cited publications 5, 7-11, 20-22, 24-27, 29, 32, 34, 36, 39-41, 43-47, 49, 52 and 53 are attached hereto as Exhibits 1 - 28, respectively. Publications 1, 2, 12-19, 30, 31, 33, 48 and 51 were entered in prior application U.S. Application No. 08/958,248, filed October 6, 1993, to which priority under 35 U.S.C. §120 is claimed. Publications 4, 6, 38, 42 and 50 were entered in prior application U.S. Application No. 08/607,419, filed February 28, 1996, to which priority under 35 U.S.C. §120 is claimed. Publications 3, 23, 28, 35 and 37 were entered in prior application U.S. Application No. 08/690,775, filed August 1, 1996, now U.S. Patent No. 6,270,766 B1, issued August 7, 2001, to which priority under 35 U.S.C. §120 is claimed. According to 37 C.F.R.

06/24/2003 WABDELR1 00000004 0975400

Serial No.: 09/754,004

Page 2

§1.98(d), copies of patents or publications that were previously cited by, or submitted to, the Office in connection with such prior applications need not accompany the Information Disclosure Statement.

- 1. U.S. Patent No. 5,096,906, issued March 17, 1992, Mandell, et al.;
- U.S. Patent No. 4,695,459, issued September 22, 1987,
 Steinman, et al.;
- 3. U.S. Patent No. 5,656,272, issued August 6, 1997, Le, et al.;
- 4. U.S. Patent No. 5,502,066, issued March 26, 1996, Heinemann, et al.;
- U.S. Patent No. 5,658,570, issued August 19, 1997, Newman, et
 al. (Exhibit 1);
- 6. U.S. Patent No. 5,580,873, issued December 3, 1996, Bianco, et al.;
- 7. U.S. Patent No. 5,298,396, issued March 29, 1994, Kotzin, et al. (Exhibit 2);
- 8. U.S. Patent No. 5,468,481, issued November 21, 1995, Sharma, et al. (Exhibit 3);

Serial No.: 09/754,004

- 9. U.S. Patent No. 5,260,422, issued November 9, 1993, Clark, et al. (Exhibit 4);
- 10. U.S. Patent No. 5,204,329, issued April 20, 1993, Ackerman, et al. (Exhibit 5);
- 11. U.S. Patent No. 5,994,510, issued November 30, 1999, Adair, et al. (Exhibit 6);
- 12. PCT International Application Publication No. WO 92/07076, published April 30, 1992;
- 13. PCT International Application Publication No. WO 91/10722, published July 25, 1991;
- 14. PCT International Application Publication No. WO 90/15152, published December 13, 1990;
- 15. European Patent Application No. EP 0 240 344, published October 7, 1987;
- 16. Australian Patent Application No. AS 58976/90, published September 22, 1987;
- 17. Canadian Patent No. 2,021,369, issued January 19, 1991;
- 18. German Patent No. DE 40 06 269 A1, issued February 28, 1990;

Serial No.: 09/754,004

- 19. British Patent Application No. GB 2 246 569 A, published June 15, 1990;
- 20. Arend, W.P., et al. (1990) <u>Arthritis Rheum.</u> 33(3):305-315 (Exhibit 7);
- 21. Bach, J.F. (1993) TiPs 14:213-216 (Exhibit 8);
- 22. Barrera, P., et al. (1995) <u>Br. J. Rheumatol.</u> 34:747-755 (Exhibit 9);
- 23. Bologna, C., et al. (1996) <u>Presse Med.</u> 25:876-878, XP002048553;
- 24. Brennan, et al. (1994) <u>Clin. Exp. Immunol.</u> 97:1-3 (Exhibit 10);
- 25. Cooper, K.D. (1993) Clin. Rev. Allergy 11:543-559 (Exhibit 11);
- 26. Elliott, M.J., et al. (1994) <u>Int. Arch. Allergy Immunol.</u> 104:112-125 (Exhibit 12);
- 27. Feldmann, M., et al. (1996) <u>Ann. Rev. Immunol.</u> 14:397-440 (Exhibit 13);
- 28. Flesch (1992) Blood 79:3366-3368;
- 29. Fries, J.F., et al. (1990) <u>J. Rheumatol.</u> 17(22):12-15 (Exhibit 14);

Serial No.: 09/754,004

- 30. Genzyme Catalog (1991) pp. 79-80;
- 31. Harris, et al. (1993) Tibtech 11:42-44;
- 32. Herzog, C., et al. (1987) <u>Lancet</u> 2(8573):1461-1462 **(Exhibit 15)**;
- 33. Hozzof, et al. (1987) Lancet 11:1461-1462;
- 34. Kahan, B.D. (1992) <u>Curr. Opin. Immunol.</u> 4:553-560 (Exhibit 16);
- 35. Kalden, J.R., et al. (1995) Curr. Opin. Rheum. 7: (3)191-197;
- 36. Littman, B.H., et al. (1995) <u>Arthritis Rheum.</u> 38:29-37 (Exhibit 17);
- 37. Maini, R.N. (1996) <u>J. Royal College of Physicians of London</u> 30(4):344-351;
- 38. Maini, R.N. (1995) <u>Arth. Rheum.</u> Suppl. 38(9):S186 (Abstract 200);
- 39. Matsumiya, G., et al. (1996) <u>Transplant Proceed.</u> 28(2):751-753 (Exhibit 18);
- 40. Moreland, L.W., et al. (1995) <u>Arthritis Rheum.</u> 38:1581-1588 (Exhibit 19);

Serial No.: 09/754,004

- 41. Moreland, L.W., et al. (1996) <u>J. Rheumatol.</u> (Supplemental 44) 23:78-83 (Exhibit 20);
- 42. Piguet, et al. (1992) Immunology 77:510-514;
- 43. Qin, S., et al. (1987) <u>Eur. J. Immunol.</u> 17:1159-1165 (**Exhibit** 21);
- 44. Richardson, C., et al. (1995) <u>Br. J. Clin. Prac.</u> 49:135-139 (Exhibit 22);
- 45. Sander, O., et al. (1995) <u>Arthritis Rheum.</u> 38:S266 (Abstract 678) (Exhibit 23);
- 46. Sosman, J.A., et al. (1993) <u>J. Pediatric Hematol. Oncol.</u> 15(2):185-195 (Exhibit 24);
- 47. Stein, C.M., et al. (1994) <u>Arthritis Rheum.</u> 37:S252 (Exhibit 25);
- 48. Strober, et al. (1990) Immunol. Reviews 118:233-255;
- 49. Stuart, et al. (1986) <u>Laboratory Investigation</u> 34:1-13 (Exhibit 26);
- 50. Thorbecke, et al. (1992) <u>Proc. Natl. Acad. Sci. USA</u> 85:7375-7379:
- 51. Waldmann, T.A. (1991) Science 252:1657-1662;

Serial No.: 09/754,004

Page 7

52. Weinblatt, M.E., et al. (1994) <u>Arthritis Rheum.</u> 37:1492-1498 (Exhibit 27); and

53. Decision on Appeal, issued March 21, 2003, for U.S. Serial No. 09/093,458, filed June 8, 1998 (Exhibit 28).

Applicants request that the Examiner review the publications and make them of record in the subject application.

This Information Disclosure Statement is being submitted after the mailing of a first Office Action. Under 37 C.F.R. §1.97(c), an Information Disclosure Statement shall be considered by the Office if filed by the applicant after the period specified in paragraph (b) of this section (i.e., the mailing of a first action on the merits), provided that the Information Disclosure Statement is filed before the mailing date of... a final action under §1.113..., and is accompanied by: (1) the statement specified in paragraph (e) of this section; or (2) the fee set forth in §1.17(p).

Pursuant to 37 C.F.R. §1.17(p), the fee for filing an Information Disclosure Statement is ONE HUNDRED EIGHTY DOLLARS (\$180.00) and a check including this amount is enclosed. Accordingly, applicants request that this Information Disclosure Statement be considered.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorneys invite the Examiner to telephone them at the number provided below.

Serial No.: 09/754,004

Page 8

No fee, other than the \$180.00 fee for filing an Information Disclosure Statement, is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any additional fee is required, authorization is hereby given to charge the amount of such fee to Deposit Account No. 03-3125.

Respectfully submitted,

certify hereby that correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents

P.O. Box 1450 Alexandria / VA 22313-1450

Alan J. Morrison Reg. No. 37,399

John P. White Registration No. 28,678 Alan J. Morrison Registration No. 37,399 Attorneys for Applicants Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036 Tel. No. (212) 278-0400